As a broker-dealer VENTURE.co Brokerage Services LLC (“VENTURE.co”) provides brokerage and/or other broker-dealer services. In connection with these services, VENTURE.co is required to communicate its position on business continuity practices (BCP).

VENTURE.co is committed to insuring continuation of all essential functions through an effective and comprehensive program of business continuity planning in the event that we experience a serious business disruption (SBD). VENTURE.co considers business continuity planning to be an iterative process, requiring ongoing review to assess risks and appropriate responses. As a result, this plan may change as necessary. Please feel free to contact VENTURE.co at any time to receive the most recent version of our Business Continuity Statement. The most recent Statement may also be viewed on the VENTURE.co Web Site at https://www.VENTURE.co/

VENTURE.co Business Continuity Planning Guidelines - VENTURE.co has developed plans that include the ability to mitigate and/or recover from situations including, but not limited to power outages, major water leaks, fire, loss of water, severe weather, and any facilities failures that may cause business interruptions. Plans are designed to account for business interruptions of various lengths and scope and require that VENTURE.co is able to recover critical functions according to their time criticality. Key features of VENTURE.co’s corporate disaster recovery planning include annual reviews of the following:

- Identification of all mission critical systems.
- A review of financial and operational risks.
- Alternate communications between VENTURE.co and its vendor partners.
- Employee safety strategies and communications.
- Systems and telecommunications accessibility.
- Alternate physical site location and preparedness

VENTURE.co Contingency Planning and Business Recovery - VENTURE.co mitigates risks to reduce potential issues and impact. In addition to following the guidelines stated above, VENTURE.co’s recovery plans also include the following:

Separate Sites - VENTURE.co has its headquarters in Burlington, VT and has leveraged technology to allow for employees to work from home as needed.

Emergency Communication - In the event an SBD disrupts one or more normal communication channels, the BCP coordinator will advise on alternative methods of communication to be employed including traditional methods which are available, social media or website notices.

Regulatory Reporting – VENTURE.co’s business continuity plans are designed to ensure that, regardless of the length of an outage at a primary location, VENTURE.co’s ability to continue to meet regulatory requirements, as mandated by the Securities and Exchange Commission, and FINRA, would not be impacted.

Communications with Regulators - VENTURE.co will communicate with its regulatory authorities regarding the nature and extent of any significant outage at a primary location, as required by applicable law and regulation.

Access to Your Funds - The Company does not hold customer or investor funds or securities, and it does not maintain customer accounts or records of holdings with applicable issuers. In the event of an SBD, customers will be directed to contact issuers directly to verify the status of closings and their holdings.